



MAY 16 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor

: David Ellis et al.

Appln. No.: 09/977,050

Filed : October 12, 2001

Group Art Unit:

For : INTEGRATIVE RISK MANAGEMENT
SYSTEM AND METHOD

Examiner:

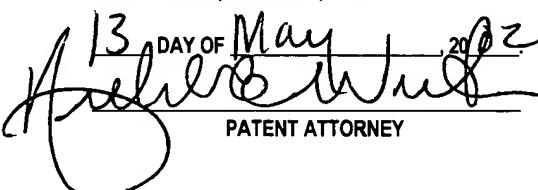
Docket No.: S85.12-0001

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED
UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

13 DAY OF May 2002


PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Parts of
Application - Filing Date Granted dated November 14, 2001,
Applicant encloses the following documents to complete the above-
identified patent application filed October 12, 2001:

1. Our PTO Form 2038 in the amount of \$435.00 to cover the filing fee and surcharge fee.
2. Our PTO Form 2038 in the amount of \$720.00 to cover a Four-Month Extension of Time.
3. Two (2) separate Combined Declaration and Powers of Attorney executed by the inventor(s).
4. Fee Calculation Sheet.
5. Notice to File Missing Parts of Application-Filing Date Granted.

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,
WESTMAN, CHAMPLIN & KELLY, P.A.



By:


Nickolas E. Westman, Reg. No. 20,147
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222 Fax: (612) 334-3312

NEW:lah

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Appln. No.: 09/977,050

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For : INTEGRATIVE RISK MANAGEMENT
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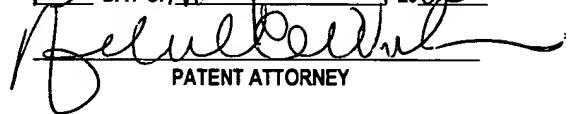
Examiner:

CERTIFICATE OF IDENTITY OF APPLICATION

MAY 16 2002

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

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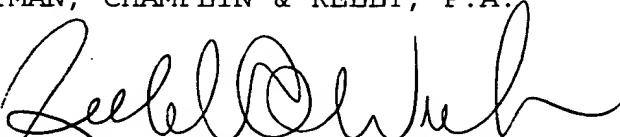
13 DAY OF May, 2002

PATENT ATTORNEY

Sir:

The application submitted herewith and the Declaration
executed by Applicants Ellis, Higgs, King, Izanev and Wogan on
November 23, 2001, and applicant Teixeira on November 28, 2001,
which is attached, is the same as the application copy mailed to
the United States Patent and Trademark Office and assigned
Application No. 09/977,050 filed October 12, 2001 for INTEGRATIVE
RISK MANAGEMENT SYSTEM AND METHOD.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

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Washington, D.C. 20231

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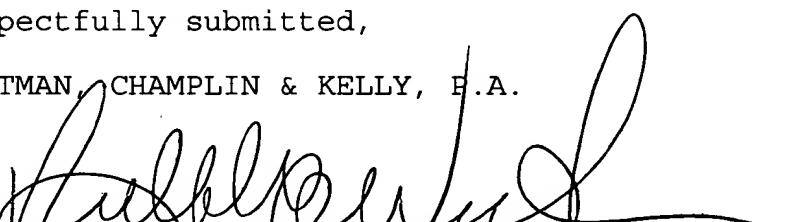

PATENT ATTORNEY

Sir:

The application submitted herewith and the Declaration
executed by the applicant Weiner on April 11, 2002, which is
attached, is the same as the application copy mailed to the United
States Patent and Trademark Office and assigned Application No.
09/977,050 filed October 12, 2001 for INTEGRATIVE RISK MANAGEMENT
SYSTEM AND METHOD.

Respectfully submitted,

WESTMAN CHAMPLIN & KELLY, P.A.

By: 

Nickolas E. Westman, Reg. No. 20,147
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FEE TRANSMITTAL



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1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123. Westman, Champkin & Kelly, P.A.		3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2">Code</th> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee</th> <th>Fee</th> <th>Fee</th> <th>Fee</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - Late filing fee or oath</td> <td>65</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - Late provisional Filing Fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For Filing a Request for Reexamination. (ex parte)</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>400</td> <td>216</td> <td>200</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>920</td> <td>217</td> <td>460</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218</td> <td>720</td> <td>Extension for reply within fourth month</td> <td>720</td> </tr> <tr> <td>128</td> <td>1,960</td> <td>280</td> <td>980</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>148</td> <td>110</td> <td>248</td> <td>55</td> <td>Terminal Disclaimer Fee</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to Revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,310</td> <td>241</td> <td>670</td> <td>Petition to Revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,310</td> <td>242</td> <td>670</td> <td>Utility/Reissue issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>143</td> <td>490</td> <td>243</td> <td>260</td> <td>Design issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>180</td> <td>126</td> <td>180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td colspan="2">Other Fee (specify) _____</td> <td colspan="4"></td> </tr> <tr> <td colspan="2">Subtotal (2) \$ 126</td> <td colspan="4">Subtotal (3) \$785</td> </tr> </tbody> </table>				Code	Large Entity		Small Entity		Fee Paid	Fee	Fee	Fee	Fee	105	130	205	65	Surcharge - Late filing fee or oath	65	127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For Filing a Request for Reexamination. (ex parte)		115	110	215	55	Extension for reply within first month		116	400	216	200	Extension for reply within second month		117	920	217	460	Extension for reply within third month		118	1,440	218	720	Extension for reply within fourth month	720	128	1,960	280	980	Extension for reply within fifth month		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		148	110	248	55	Terminal Disclaimer Fee		140	110	240	55	Petition to Revive - unavoidable		141	1,310	241	670	Petition to Revive - unintentional		142	1,310	242	670	Utility/Reissue issue fee (inc. advance copies)		143	490	243	260	Design issue fee (inc. advance copies)		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of Information Disclosure Statement		581	40	581	40	Recording each patent assignment per property (times number of properties)		Other Fee (specify) _____						Subtotal (2) \$ 126		Subtotal (3) \$785			
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Signature David E. Westman
(Nicklas E. Westman)

Reg. No. 20,147

Date 5/13/02

Deposit Account No. 23-1123



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/977,050	10/12/2001	David Ellis	S85.12-0001

CONFIRMATION NO. 1800

FORMALITIES LETTER



OC000000007062874

WESTMAN, CHAMPLIN & KELLY, P.A.
International Center
Suite 1600
900 Second Avenue South
Minneapolis, MN 55402-3319

Date Mailed: 11/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$252.
 - \$252 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1122.

*A copy of this notice **MUST** be returned with the reply.*

09977050

KCAYSON

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/17/2002 SFELEKE1 00000045 09977050

01 FC:201
02 FC:205

370.00 OP
65.00 OP

05/20/2002 ZJUHAR1 00000064 231123
01 FC:202 126.00 CH

OPI E
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JCI84
SEARCHED & TRADEMARK
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#7

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LETTER TO OFFICIAL DRAFTSPERSON

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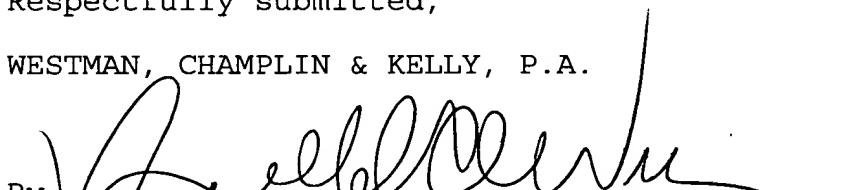

PATENT ATTORNEY

Sir:

Enclosed are two (2) sheets of formal drawings for
filing in the above-identified application.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

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